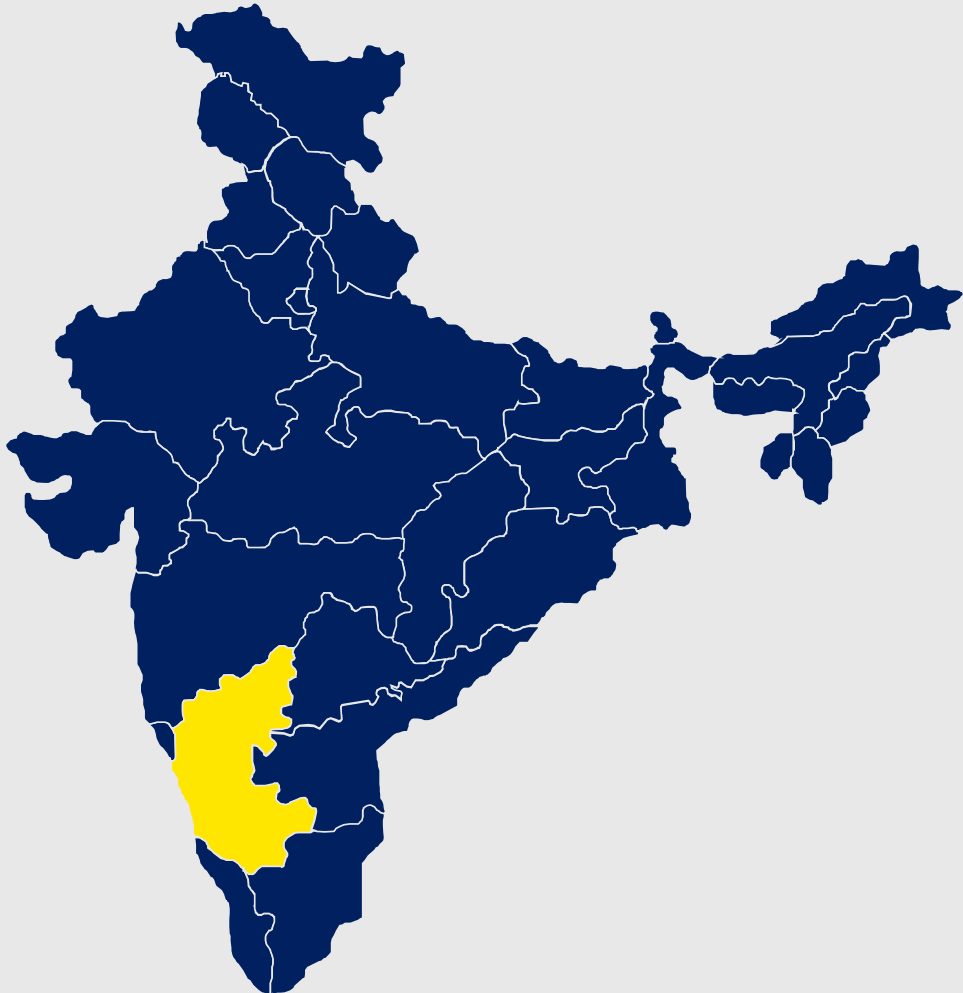




KARNATAKA UDYOG MITRA IN PRINCIPLE APPROVAL PROCESS DOCUMENT



Seeking in-principle approval, is the first step for any investor looking to either set up a new project or seeking permission for expansion, modernization & diversification of existing projects.

Depending on the size of the investment, the request for in-principle approval will be automatically directed by the Single Window Clearance System (SWS) to the appropriate committee for evaluation. The various committees established in the state for clearance of the investment proposals are:

- State High level Clearance Committee (SHLCC)
- State level Single Window Clearance Committee (SLSWCC)
- District level Single Window Clearance Committee (DLSWCC)

Once the investor receives the in-principle approval for their project's investment proposal, they can initiate either of the following activities:

i). Applying for pre-establishment business services (approvals/clearances/NOCs) at the central and state level. An indicative list of these services can be quickly accessed by using the know-your-approval wizard or through the UMA ChatBot of the SWS. A detailed user-guide on the process for this is available under the User Guides section.

ii). Applying for Affidavit based Clearance (ABC). This facility is available only to the investment projects in the Manufacturing industry. This allows an investor to get a moratorium from seeking department level approvals for a period of up to 3 years. The ABC functionality will be made available on the new SWS in the coming months. Till then, the investors will need to apply for ABC on the earlier ebiz system at <https://ebiz.karnataka.gov.in/ebiz>

In Principle Approval by Committees (DLSWCC, SLSWCC & SHLCC)

Department	Industries and Commerce			
Name of the Service	In Principle approval for new investment project proposals			
Who are eligible to get this service	For Businesses intending to invest in Karnataka			
Stage	Pre-Establishment			
Documents to be enclosed with the request	<p>(Depends on Firm type – Proprietary/Partnership Firm/Private Sector Undertaking - Public Limited Company/Private Sector Undertaking - Private Limited Company/ Joint Sector Undertaking/Co-Operative Undertaking/Public Sector Undertaking/Other)</p> <ol style="list-style-type: none"> 1. Brief note on the present activities of the company 2. Project layout map 3. Project implementation schedule 4. Detailed Project Report 5. IT return of individual promoter 6. CA Certified Net worth Statement of Each Individual Promoter/Director 7. Pan card of Promoter/Company 8. Audited Account Report Of the concern 9. Partnership deed/Registration of firms 10. Incorporation certificate issued by ROC 11. Memorandum and Article of Association Company/Form 32 12. Certified Net worth Statement of the Company 13. Authorization Letter 14. Registration Certificate of Co- Operative Society, Society By Law. 			
Where to Apply:	https://sws.investkarnataka.co.in/en-US/UI-Login/			
Fee/Charges to be paid to get the service		Project Cost	For General Category (exclusive of taxes)	For SC/ST, Women, Minorities, Backward classes (1 & 2A), Physically challenged, Ex-servicemen Category Entrepreneurs (exclusive of taxes) (% concession)
	1.	Up to Rs. 1 crore	Rs. 1,000	50%
	2.	Above Rs. 1 crore up to Rs. 5 crore	Rs. 2,000	50%
	3.	Above Rs. 5 crore up to Rs. 10 crore	Rs. 5,000	50%
	4.	Above Rs. 10 crore up to Rs. 14.99 crore	Rs. 10,000	50%
	5.	Above Rs. 15 crore up to Rs. 30 crore	Rs. 20,000	50%
	6.	Above Rs. 30 crore up to Rs. 50 crore	Rs. 40,000	50%
	7.	Above Rs. 50 crore up to Rs. 100 crore	Rs. 1,00,000	50%

	8.	Above Rs. 100 crore up to Rs. 250 crore	Rs. 2,00,000	50%
	9.	Above Rs. 250 crore up to Rs. 500 crore	Rs. 3,00,000	50%
	10.	Above Rs. 500 crore up to Rs. 1,000 crore	Rs. 4,50,000	50%
	11.	Above Rs. 1,000 crore up to Rs. 5,000 crore	Rs. 7,50,000	50%
	12.	Above Rs. 5,000 crore	Rs. 15,00,000	50%
Procedure	<ol style="list-style-type: none"> 1. Investor Submits Application Form 2. Verification of Application <ul style="list-style-type: none"> ▶ If Project cost < 15 Cr and Land < 2 Acre, Verification by Land Audit Committee and DLSWCC at the District. ▶ If Project cost < 15 Cr and Land >= 2 Acre and < 10 Acre, Verification by SLSWCC at the state. ▶ If Project cost >= 15 Cr and < 500 Cr with Land < 10 Acre, Verification by SLSWCC at the state. ▶ If Project cost >= 15 Cr and < 500 Cr with Land >= 10 Acre, Verification by Land Audit Committee and SLSWCC at the State. ▶ If Project cost if >= 500 Cr Verification by Land Audit Committee and SHLCC at the State. 3. Project Proposal is cleared by respective Committee based on Project cost and Extent of Land by KIADB as mentioned above. 4. In Principle Approval order will be issued. 5. Applicant submits the Application online with required documents for obtaining various clearances/approvals (KIADB, KSSIDC, KSPCB, Energy department, Factories and Boilers etc.) 			
Appeal				
Whom to approach as a appeal (Competent Officer), if the service is not delivered in time or rejected by officer	<ul style="list-style-type: none"> - Appeal against the decision of DLSWCC shall be appealed to SLSWCC. - Appeal against the decision of SLSWCC shall be appealed to SHLCC. - Appeal against the decision of SHLCC shall be appealed to next SHLCC. 			
Grievance				
Website	https://investkarnataka.co.in (Query & Grievance option)			

Affidavit Based Clearance (ABC)

Department	Karnataka Udyog Mitra
Name of the Service	Affidavit Based Approval for Manufacturing Industries
Who are eligible to get this service	For Manufacturing Industries in Karnataka
Stage	Pre-Establishment
Documents to be enclosed with the request	<p>1. KIADB, KSSIDC, BDA, BBMP, DMA & RDPR</p> <p>a. Building Plan Approval</p> <ol style="list-style-type: none"> i. Joint Inspection Report ii. Building Plan prepared by KIADB empanelled Technical Architect iii. Site inspection report along with sketch obtained from Development Officer iv. Consent for Establishment (CFE) from Karnataka State Pollution Control Board v. Structural Stability Certificate (SSC) vi. Village Map obtained from revenue Department vii. Toposheet obtained from Survey of India viii. NoC and Attested Plan from Fire Force and Emergency Services Department ix. NoC from Airport Authority of India (AAI)/HAL. x. NoC from KPTCL/BESCOM xi. NoC From Factories and Boilers Department xii. If the Industrial plot is located within the local planning area declared under the KTCP Act., 1961, Extract of proposed land use map showing the proposed plot & Technical approval/ Technical opinion from concerned Planning Authority/Urban Development Authority (UDA). xiii. NoC from Bharath Sanchar Nigam Ltd. (BSNL) xiv. Environmental Clearance from MoEF/SEIAA xv. Control of Explosives/PESO xvi. Other NoC's (Railway, NHAI, PWD, Forest, Irrigation/Minor Irrigation, Lake Development Authority, Zilla Panchayath, District Magistrate, Department of Explosives, CRZ etc) xvii. 1 set of plans in black and white print for processing as per norms <p>b. Commencement Certificate</p> <ol style="list-style-type: none"> i. Photograph of Compound Wall ii. Photograph of Foundation iii. Consent for Establishment (CFE) from Karnataka State Pollution Control Board iv. NoC and Attested Plan from Fire Force and Emergency Services Department v. Structural Stability Certificate vi. Completion Certificate vii. Lease-cum-Sale Agreement/Lease Deed/Sale Deed viii. NoC from Airport Authority of India (AAI)/HAL. ix. Environmental Clearance from MoEF/SEIAA x. NoC from KPTCL/BESCOM xi. Structural Stability Certificate (SSC) xii. NoC From Factories and Boilers Department xiii. Control of Explosives/PESO

- xiv. Other NoC's (Railway, NHAI, PWD, Forest, Irrigation/Minor Irrigation, Lake Development Authority, Zilla Panchayath, District Magistrate, Department of Explosives, CRZ etc)
- xv. Details of Nature of Industries
- xvi. Licence from D.C
- xvii. Approved Layout Copy
- xviii. NOC from Pollution Control for Operation
- xix. As built Drawings
- xx. Power Connection Approval
- xxi. Site inspection report along with sketch obtained from Development Officer

2. CEIG

a. Electrical Drawing Approval

- i. Copies of valid License of the Electrical Contractor, Supervisor & Wireman permit copy Endorsed to concerned LEC
- ii. Affidavit-8 : Authorization of owner of the installation to the Licenced Electrical Contractor on Rs 20 /- Stamp paper
- iii. Drawing-Site plan/key plan
- iv. Drawing-Layout showing point of commencement of supply & Tapping point details
- v. Drawing-Plan & Sectional Elevation of proposed Electrical Installation (Substation, Generator etc)
- vi. Drawing-Single line electrical schematic diagram - Document 1
- vii. Drawing-Equipment/Physical layout/Floor wise Plan - Document 1
- viii. Drawing-Overhead lines/cable routing
- ix. Drawing-Earthing Layout
- x. Form Z: estimate and agreement made with the Licenced electrical Contractor and consumer on Rs 200/- stamp paper
- xi. Copy of proof of property ownership/occupancy document.
- xii. Prescribed drawing scrutiny fees paid challan or Receipt
- xiii. Drawing-Equipment/Physical layout/Floor wise Plan - Document 2
- xiv. Drawing-Equipment/Physical layout/Floor wise Plan - Document 3
- xv. Drawing-Equipment/Physical layout/Floor wise Plan - Document 4
- xvi. Drawing-Equipment/Physical layout/Floor wise Plan - Document 5
- xvii. Drawing-Single line electrical schematic diagram - Document 2
- xviii. Drawing-Single line electrical schematic diagram - Document 3
- xix. Copy of power sanction letter from licensee along with sketch and estimate.
- xx. In case of layouts copy of layout sanction plan from competent authority.
- xxi. Copy of valid building sanction plan approved by the competent authority (in case of new building) - Document 1
- xxii. Architect plan certified by Registered Architect along with sanction plan, in case of buildings not as per sanction plan.
- xxiii. Copy of valid building sanction plan approved by the competent authority (in case of new building) - Document 2
- xxiv. Copy of valid building sanction plan approved by the competent authority (in case of new building) - Document 3
- xxv. Copy of valid building sanction plan approved by the competent authority (in case of new building) - Document 4
- xxvi. In case applicant is not the owner, consent from the owner of the installation
- xxvii. Approval copy of existing installation along with ESCOM RR No. (if applicable)

b. Commissioning Approval

- i. Proof of Address
- ii. Route map with 2 Landmark to reach installation
- iii. Manufacture test report of
 - a. Transformer
 - b. Circuit Breakers
 - c. Cable
 - d. Alternator & Engine
- iv. Test report of CT, PT and Energy Meter
- v. Completion report of LEC form B1
- vi. Form Z and A1 LEC
- vii. Copy of License of Contractor and his Supervisor and wireman permit

3. Department of Factories and Boilers

a. Factory Plan Approval

- i. Factory Plan
- ii. Sale deed / Rent Agreement / Lease deed / possession certificate / Tax paid Receipt
- iii. Brief description of Manufacturing process with Flow chart
- iv. Certificate of Stability of the Building

4. Department of Fire & Emergency

a. Fire NOC for Construction

- i. Application addressed to Director & DGP
- ii. Built-Up Area Statement
- iii. Copy of the title of the Size/Ownership / GPA etc.,
- iv. Elevation
- v. Extra Documents
- vi. Ground Floor Plan Indicating Built-up Area
- vii. Schematic draw of fire detection System
- viii. Schematic draw of firefighting system
- ix. Section
- x. Site Plan
- xi. Typical floor plan

b. Clearance Certificate

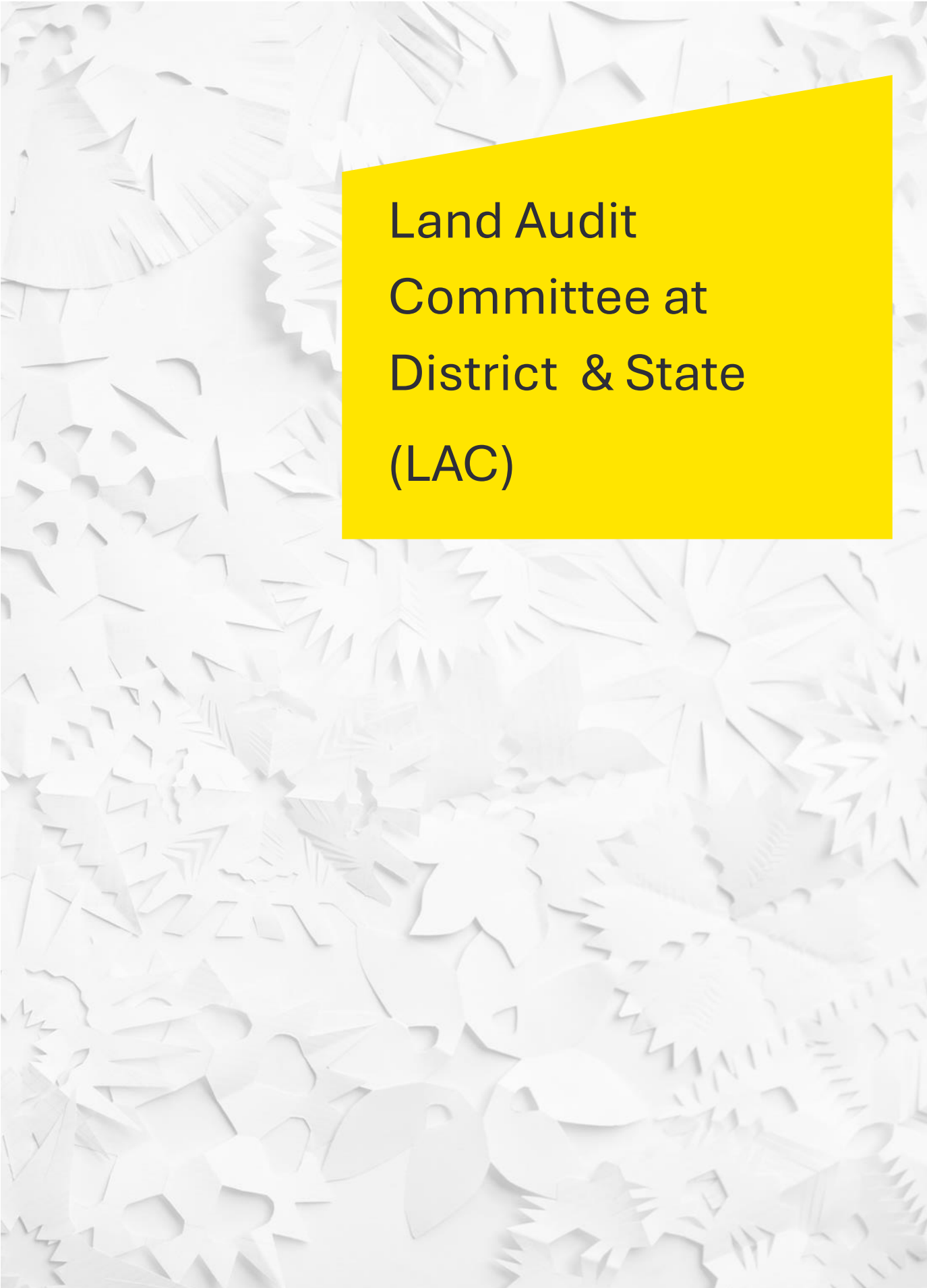
- i. Affidavit
- ii. Application addressed to Director and DGP
- iii. Copy of the title of Size/Ownership/GPA etc.,
- iv. Elevation
- v. Extra Documents
- vi. Ground floor plan indicating Build up area
- vii. Schematic draw of fire detection system
- viii. Schematic draw of firefighting system
- ix. Section
- x. Site Plan
- xi. Typical Floor Plan
- xii. Upload No Objection Certificate
- xiii. Upload Office letter for Clearance Certificate

5. Labour Department

a. Registration of Contract Labour Principal Employer

- i. Authentic Identity Card of the Applicant (Like EPIC, Driving Licence, Pan Card, Aadhar Card, Passport, Bank OR Post Office Passbook, Ration Card, Railway Identity Card, Identity Card for the Disabled)

	<ul style="list-style-type: none"> ii. Certified Copy of Agreement / Contract between Principal Employer and the Contractors iii. List with full address and Identity Card of Partners/Directors/Owners/Occupiers/Proprietor of the Organization. iv. Copy of Memorandum of Association (MOA) / ROC in case of Private / Public Companies <p>b. License Issued to Contractor. (supporting documents not applicable)</p> <p>6. Legal Metrology</p> <p>a. New License for Manufacturer of Weights & Measures</p> <ul style="list-style-type: none"> i. "Ownership Deed & Tax Receipt if the Premises is Owned by Applicant / Rental Agreement If the Premises Is Rented by Applicant & NOC Certificate from Owner of Building " ii. GST Certificate iii. "Model Approval Letter Obtained from Central Government & Details of Products to Be Manufactured In Office Letterhead" iv. Memorandum of Association/ Articles of Association (If Operating in Partnership / Limited)
Apply here:	https://ebiz.karnataka.gov.in/ebiz/
Fee/Charges to be paid to get the service	As applicable by departments for respective services
Procedure	<ol style="list-style-type: none"> 1. Applicant to Apply for ABC approval 2. The file is moved to MD KUM for review. 3. MD KUM, reviews document <ul style="list-style-type: none"> a. If rejected, a fresh application must be submitted by applicant b. if approved, payment to be made by the applicant 4. After the payment, MD generates acknowledgement letter, signs, seals the letter and is uploaded for the applicant to download.
Whom to approach as a appeal (Competent Officer), if the service is not delivered in time or rejected by officer	NA
Grievance System	
Website	https://ipgrs.karnataka.gov.in/



**Land Audit
Committee at
District & State
(LAC)**


Committee Constitution: Land Audit Committee (LAC)

LAC at District level is chaired by Joint Director of respective District Industries Centre.

Sl. No	Members	Designation
01.	Joint Director of respective District Industries Centre	Chairman
02.	Concerned Executive Officers KIADB	Member
03.	Concerned District Level Officer KSSIDC	Member
04.	Concerned Environmental Officer KSPCB	Member
05.	Concerned Assistant Commissioner / Tahsildars	Member
06.	Concerned Lead Bank Manager	Member
07.	Deputy Director of the respective District Industries Centre	Member Secretary

LAC at State is chaired by The Additional Chief Secretary/The Principal Secretary to Government, Commerce and Industries Department.

Sl. No	Members	Designation
1.	The Additional Chief Secretary/Principal Secretary, Commerce to Government and Industries Department.	Chairman
2.	The Principal Secretary to Government, Urban Development Department.	Member
3.	The Principal Secretary to Government, Revenue Department	Member
4.	The Commissioner for Industrial Development & Director of Industries and Commerce Department	Member Secretary
5.	The Chief Executive Officer, K.I.A.D.B	Member
6.	The Chief Advisor, Technical Consultancy Services Organisation of Karnataka (TECSOK)	Member
7.	The Director (Technical Cell) Commerce and Industries Department.	Member
8.	The Managing Director, Karnataka Udyog Mitra	Member
9.	The Member Secretary, Karnataka State Pollution Control Board	Member



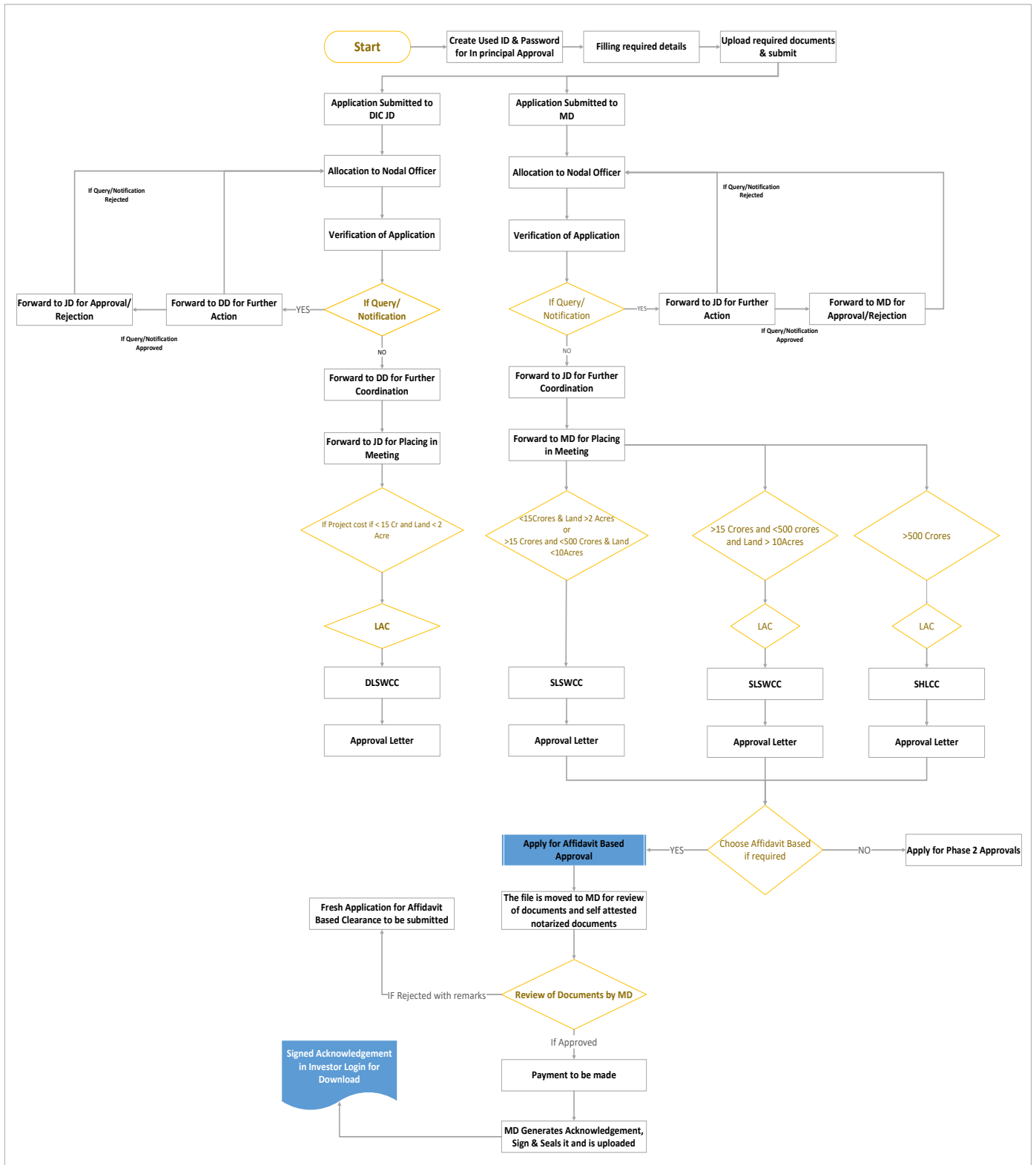
**District Level Single
Window Clearance
Committee
(DLSWCC)**

Committee Constitution: District Level Single Window Clearance Committee (DLSWCC)

DLSWCC is chaired by the Joint Director of the concerned district.

Sl. No	Members	Designation
01.	The Deputy Commissioner of the district concerned	Chairman
02.	The Chief Executive Officer, Zilla Panchayat of the district concerned	Member
03.	The Jurisdictional Executive Officer, Electric Supply Company Ltd.	Member
04.	District level Officer of the Karnataka State Pollution Control Board	Member
05.	The Jurisdictional representative of the Karnataka State Financial Corporation	Member
06.	The Lead District Manager of the Lead Bank	Member
07.	District level Officers of Tourism, Agriculture, Horticulture and Information Technology Departments.	Member
08.	The Jurisdictional Joint or Deputy Commissioner of Commercial Taxes	Member
09.	The Branch Manager, Karnataka Small Scale Industries Development Corporation	Member
10.	The Representative of Karnataka Industrial Areas Development Board	Member
11.	The Commissioner, Urban Development Authority.	Member
12.	The Assistant Manager, Town Planning	Member
13.	The Commissioner or Chief Officer of City Municipal Corporation or Municipal Council	Member
14.	The Deputy Chief Inspector of Factories and Boilers	Member
15.	The Assistant Director, Kannda and Culture Department	Member
16.	Representatives from District Industries Associations	Member
17.	District level Officer of Khadi and Village Industries Board	Member
18.	Regional Director, Dept. of Ecology & Environment	Member
19.	The Joint Directory of the respective District Industries Centre	Member Secretary

Workflow



**State Level Single
Window Clearance
Committee
(SLSWCC)**

Committee Constitution: State Level Single Window Clearance Committee (SLSWCC)

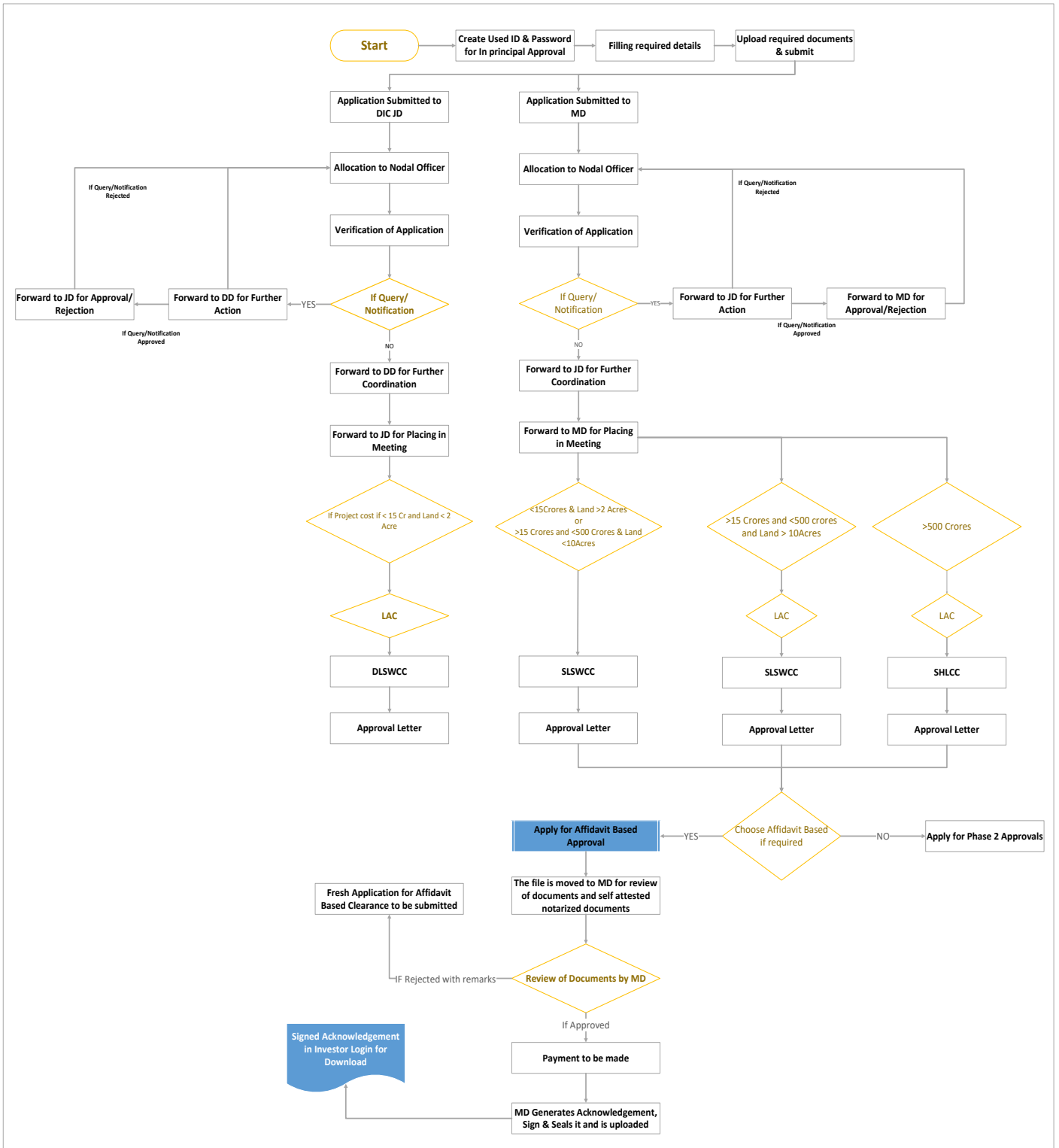
SLSWCC is chaired by the Hon'ble Minister for Large & Medium Industries, Govt of Karnataka.


Sl. No	Members	Designation
1.	The Hon'ble Minister for Large & Medium Industries	Chairman
2.	The Chief Secretary to Government	Member
3.	The Additional Chief Secretary to Government, Commerce and Industries Department	Member
4.	The Additional Chief Secretary to Government Cum Development Commissioner	Member
5.	The Additional Chief Secretary to Government, Tourism Department	Member
6.	The Additional Chief Secretary to Government, Forest, Ecology and Environment Department	Member
7.	The Additional Chief Secretary to Government, Energy Department	Member
8.	The Additional Chief Secretary to Government, Labour Department	Member
9.	The Additional Chief Secretary to Government, Urban Development Department	Member
10.	The Additional Chief Secretary to Government, Water Resources Department	Member
11.	The Principal Secretary to Government, Finance Department	Member
12.	The Principal Secretary to Government, Infrastructure Development Department	Member
13.	The Principal Secretary to Government, Revenue Department	Member
14.	The Principal Secretary to Government, Department of Electronic Information Technology Biotechnology and Science & Technology	Member
15.	The Secretary to Government, (MSME, Mines, Sugar) Commerce and Industries Department.	Member
16.	Director, Karnataka State Pollution Control Board	Member
17.	Chief Executive Officer & Executive Member, Karnataka Industrial Area Development Board (KIADB)	Member
18.	Commissioner, Industrial Development & Director of Industries and Commerce Department	Member Secretary
19.	The Additional Chief Secretary to Government, Social Welfare Department	Member
20.	The Principal Secretary to Government, Social Welfare Department	Member
21.	The Secretary to Government, Social Welfare Department	Member

Special Invitees

Sl. No	Members
1.	The Principal Secretary to Government, Agriculture Department
2.	The Managing Director, KPTCL, Bangalore
3.	The Managing Director, KSSIDC, Bangalore
4.	The Commissioner, BMRDA, Bangalore
5.	The Commissioner for Cane Development & Director of Sugar, Bangalore
6.	The Managing Director, KUWSDB, Bangalore
7.	The Managing Director, Karnataka Udyog Mitra, Bangalore
8.	The Commissioner, Exercise Department, Bangalore
9.	The Commissioner, Bangalore Development Authority, Bangalore
10.	The Director, Town and Country Planning, Bangalore
11.	The Director, Department of Mines and Geology, Bangalore
12.	The Managing Director, Karnataka Renewable Energy Department, Bangalore
13.	The Member Secretary, Bangalore International Airport Area Planning Authority, Bangalore

Workflow





**State High Level
Clearance
Committee
(SHLCC)**

Committee Constitution: State High Level Clearance Committee (SHLCC)**SHLCC is chaired by the Hon'ble Chief Minister**

Sl. No	Members	Designation
1.	The Hon'ble Chief Minister	Chairman
2.	The Hon'ble Minister for Large and Medium Industries & Tourism.	Vice Chairman
3.	The Hon'ble Minister for Public Works Department	Member
4.	The Hon'ble Minister for Electronic Information Technology Biotechnology and Science & Technology	Member
5.	The Hon'ble Minister for Horticulture	Member
6.	The Hon'ble Minister for Water Resources	Member
7.	The Hon'ble Minister for Infrastructure Development	Member
8.	The Hon'ble Minister for Agriculture	Member
9.	The Chief Secretary, Government of Karnataka	Member
10.	The Additional Chief Secretary to Government, Commerce and Industries Department	Member
11.	The Additional Chief Secretary to Hon'ble Chief Minister	Member
12.	The Additional Chief Secretary to Government Cum Development Commissioner.	Member
13.	The Additional Chief Secretary to Government, Tourism Department	Member
14.	The Additional Chief Secretary to Government, Forest, Ecology and Environment Department.	Member
15.	The Additional Chief Secretary to Government, Urban Development Department	Member
16.	The Additional Chief Secretary to Government, Energy Department	Member
17.	The Additional Chief Secretary to Government, Labour Department	Member
18.	The Additional Chief Secretary to Government, Water Resources Department	Member
19.	The Principal Secretary to Government, Finance Department.	Member
20.	The Principal Secretary to Government, Infrastructure Development Department.	Member
21.	The Principal Secretary to Government, Revenue Dept	Member
22.	The Principal Secretary to Government, Department of Electronic Information Technology Biotechnology and Science & Technology	Member
23.	The Secretary to Government (MSME, Mines, Sugar) Commerce and Industries Department	Member
24.	The Director, Karnataka State Pollution Control Board, Bangalore	Member
25.	Chief Executive Officer & Executive Member, Karnataka Industrial Area Development Board (KIADB).	Member
26.	Commissioner for Industrial Development & Director of Industries & Commerce Department, Bangalore	Member Secretary

Special Invitees

Sl. No	Members
1.	The Principal Secretary to Government, Agriculture Department
2.	The Managing Director, KPTCAL, Bangalore
3.	The Managing Director, KSIIDC, Bangalore
4.	The Commissioner, BMRDA, Bangalore
5.	The Commissioner for Cane Development & Director of Sugar, Bangalore
6.	The Managing Director, KUWSDB, Bangalore

Workflow

